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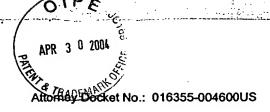
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Title of Invention

Inventor 4

Signature:

☐ Additional inventors are being named on

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

CATHETER FOR INTRAFALLOPIAN CONTRACEPTIVE DELIVERY

As the below named inventor(s), I/we declare that:				
This declaration is direct	cted to:			· · · · · · · · · · · · · · · · · · ·
		The attached application, or		,
	\boxtimes	Application No. 10/665,973, filed or	n <u>September</u>	
		as amended on (if applications)	able);	
I/we believe that I/we am/s sought;	are the orig	ginal and first inventor(s) of the subject ma	tter which is da	ilmed and for which a patent is
I/we have reviewed and un amendment specifically re		the contents of the above-identified applications;	ation, including	the claims, as amended by any
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.				
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.				
FULL NAME OF INVE	NTOR(S)	<u> </u>		
Inventor 1 QUA	NG TRAN	1	Date:	
Signature:			_ Citizen of:	United States
Inventor 2 MIMI	I NGUYEN	1	Date:	2/28/04
Signature: M	agy	<u> </u>	_ Citizen of:	United States
Inventor 3ROS	ENDO AG	SUILAR	Date:	119 604
Signature: +	<u> </u>	tan	_ Citizen of:	United States

Date:

additional form(s) attached hereto.

Citizen of:

Attorney Docket No.: 016355-004600US

As the below named inventor(s), I/we declare that:

Title of Invention

PTO/SB/01A (08-03)

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

CATHETER FOR INTRAFALLOPIAN CONTRACEPTIVE DELIVERY

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;				
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all Information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.				
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.				

PTO/SB/01A (08-03)

Attorney Docket No.: 016355-004600US

As the below named inventor(s), I/we declare that:

Title of Invention

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

CATHETER FOR INTRAFALLOPIAN CONTRACEPTIVE DELIVERY

			•			ŀ
This declaration	is directed to:				•	
		The attached a	pplication, or			l
	\boxtimes	Application No.	10/665,973, file	d on <u>September 1</u>	8, 2003,	
		as amende	d on (if ap	plicable);	•	*
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;						
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;						
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.						
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.						
		 			·	
FULL NAME OF	F INVENTOR(S)					
Inventor 1	QUANG TRAN		48	Date:		
Signature:	·			Citizen of:	United States	
Inventor 2	MIMI NGUYEN	٧		Date:		
Signature:				Citizen of:	United States	
Inventor 3	ROSENDO AC	GUILAR		Date:	1/9/04	
Signature:	RH	t gi		Citizen of:	United States	
Inventor 4				Date:		
Signature:				Citizen of:		
☐ Additional inv	entors are being n	amed on a	dditional form(s) at	ached hereto.		





I hereby ap	point:				
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OR					
Practition	oner(s) named below (if more than ten patent pra	ctitioners are to be n	amed, then a cu	stomer number must be used):	ı
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	s) or agent(s) to represent the undersigned before	- 4b - 1 1-14- d CA-4 5	Detect and Trade	amost Office (LISBTO) in connection w	diff)
any and all o	s) or agent(s) to represent the undersigned below natent applications assigned <u>only</u> to the undersign this form in accordance with 37 CFR 3.73(b).	ned according to the	USPTO assignn	nent records or assignment document	s
Assignee Na	ame and Address:				
	Conceptus, Inc.	•			
	1021 Howard Ave San Carlos, CA 9				
		· · · · · · · · · · · · · · · · · · ·			_
A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.					
		RE of Assignee of R	ecord	chalf of the assignee	
Name	Betsy Swann			<u> </u>	一
Signature	12 12		Date		\neg
					- 1
Title	Vice President, Systems Development		Telephone	650-802-7240	$\neg \neg$

60113183 v1





Attorney Docket No.

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: TRAN et al.
Application No./Patent No.: 10/665,973 Filed/Issue Date: September 18, 2003
Entitled: CATHETER FOR INTRAFALLOPIAN CONTRACEPTIVE DELIVERY
CONCEPTUS, INC. , a DELAWARE CORPORATION
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:
1. the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is%
in the patent application/patent identified above by virtue of either:
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
1. From: To :
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
2. From: To :
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
3. From: To : To : The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.
⊠ Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
Betsy Swann
Date Typet or printed name
650-802-7240
Telephone number / Signature
Vice President, Systems Development

COMMUNICATION RESULT REPORT (APR. 28.2004

TTC-PA 650-326-2422

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P. 6/6



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E-2) BUSY E-4) NO FACSIMILE CONNECTION

Atty Docket No. 016355-004600US

PTO FAX NO.:

(703) 306-5995

ATTENTION:

ASSIGNMENT BRANCH

TELEPHONE NO.:

(703) 308-9723

OFFICIAL COMMUNICATION

FOR THE PERSONAL ATTENTION OF

ASSIGNMENT BRANCH

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of TRAN et al., Application No. 10/665,973, filed September 18, 2003 for CATHETER FOR INTRAFALLOPIAN CONTRACEPTIVE DELIVERY is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Number of pages being transmitted, including this page: 6

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TELEPHONE NO.:

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OFFICIAL COMMUNICATION

FOR THE PERSONAL ATTENTION OF

ASSIGNMENT BRANCH

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of <u>TRAN et al.</u>, Application No. <u>10/665,973</u>, filed <u>September 18, 2003</u> for CATHETER FOR INTRAFALLOPIAN CONTRACEPTIVE DELIVERY is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Number of pages being transmitted, including this page: 6

Dated: 4/28/04

JODIE M. RIVAS

Document(s) Attached

- 1. RECORDATION FORM COVER SHEET (2 pages); and
- 2. ASSIGNMENT (3 pages).

PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (415) 576-0300

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, CA 94111-3834 Telephone: 650-326-2400

Fax: 650-326-2422

60204103 vI

Attorney Docket No. 016355-004600US Form PTO-1595 U.S. Department of Commerce ordation Form Cover Sheet (Rev. 10-02) -OMB No. 0651-0027 (exp. 5/31/2002) U.S. Patent and Trademark Office PATENTS ONLY Tab settings ⇔⇔ ▼ To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof 2. Name and address of receiving party(ies) 1. Name of conveying party(ies): **QUANG TRAN** Name: Conceptus, Inc. Internal Address: Additional name(s) of conveying party(ies) attached?

Yes

No. 3. Nature of conveyance: Street Address: 1021 Howard Ave. Merger City: San Carlos State: CA ZIP: 94070 Security Agreement Change of Name Other: Additional name(s) and address(es) attached? ☐ Yes ☒ No Execution Date: January 21, 2004, February 25, 2004 and January 9, 2004 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: Patent Application No(s): 10/665,973 Patent No(s): Additional numbers attached?

Yes

No Name and address of party to whom correspondence 6. Total number of applications and patents involved 1 concerning document should be mailed: Scott M. Smith, M.D. 7. Total fee (37 CFR 3.41): -----\$40.00 TOWNSEND AND TOWNSEND AND CREW LLP ☐ Enclosed Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Authorized to be charged to deposit account (415) 576-0200 8. Deposit account number: 20-1430 (Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Scott M. Smith, M.D. Name of Person Signing Atty. Reg. No. 48,268

Signature

Date

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Alexandria, VA 22313-1450

Form PTO-1595 Recordation Form Cover Sheet Patents Only Page 2

 Additional name(s) of conveying party(ies): (Continued from Page 1)

MIMI NGUYEN ROSENDO AGUILAR

- Additional name(s) and address(es) of receiving party(ies): (Continued from Page 1)
- Additional application number(s) or patent number(s): (Continued from Page 1)
 - A. Patent Application No.(s)

B. Patent No.(s)



60203824 v1

ASSIGNMENT OF PROVISIONAL PATENT APPLICATION

JOINT

WHEREAS, QUANG TRAN of 11 Channel Drive, Redwood City, CA 94065; MIMI NGUYEN of 105 Cityhome Lane, Foster City, CA 94404; ROSENDO AGUILAR of 4821 Windemere Drive, Newark, CA 94560 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified provisional patent application:

Title of Invention:

CATHETER FOR INTRAFALLOPIAN CONTRACEPTIVE DELIVERY

Filing Date:

60113174 v1

September 18, 2003

Application No.:

10/665,973; and

WHEREAS, Conceptus, Inc., a corporation of the state of Delaware, located at 1021 Howard Avenue, San Carlos, CA 94070, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on any patent application claiming priority from the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, Eighth Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHERE Dated: 12104	QUANG TRAN
Dated:	MIMI NGUYEN
Dated: 1/9/04	ROSENDO AGUILAR

ASSIGNMENT OF PROVISIONAL PATENT APPLICATION

TMIOL

WHEREAS, QUANG TRAN of 11 Channel Drive, Redwood City, CA 94065; MIMI NGUYEN of 105 Cityhome Lane, Foster City, CA 94404; ROSENDO AGUILAR of 4821 Windemere Drive, Newark, CA 94560 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified provisional patent application:

Title of Invention:

CATHETER FOR INTRAFALLOPIAN

CONTRACEPTIVE DELIVERY

Filing Date:

60113174 v1

September 18, 2003

Application No.:

10/665,973; and

WHEREAS, Conceptus, Inc., a corporation of the state of Delaware, located at 1021 Howard Avenue, San Carlos, CA 94070, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on any patent application claiming priority from the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, Eighth Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated:	OVANCE TRANS
	QUANG TRAN
Dated: 2/25/04	MESSINGUYEN
•	MEMI NGUYEN
Dated: 1/9/04	R. Agi
, , , , , , , , , , , , , , , , , , , ,	ROSENĎO AGUILAR

Attorney Docket No.: 016355-004600US

ASSIGNMENT OF PROVISIONAL PATENT APPLICATION

JOINT

WHEREAS, QUANG TRAN of 11 Channel Drive, Redwood City, CA 94065; MIMI NGUYEN of 105 Cityhome Lane, Foster City, CA 94404; ROSENDO AGUILAR of 4821 Windemere Drive, Newark, CA 94560 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified provisional patent application:

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CONTRACEPTIVE DELIVERY

Filing Date:

September 18, 2003

Application No.:

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For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

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Dated:	
	QUANG TRAN
	·
Dated:	
	MIMI NGUYEN
Dated: 1/9/04	K. Agri
	ROSENDO AGUILAR

60113174 v1